Comment l'IA générative transforme les pratiques de recherche : nouveaux enjeux d'intégrité scientifique



#### Colloque 15 avril 2025

IPGP - Amphithéâtre 1, rue Jussieu - 75005 Paris

### Al tools in peer reviewing: challenges and needs

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15 April 2025

## Importance of the Peer Review Process (PRP)

#### Scholarly communication

- Sets academic research to the judgment of expert peers to critically assess the:
  - Novelty
  - Quality
  - Impact of research
- Quality control of scientific research
- Ethicality and integrity of scientific research



#### Guston, 2007; Rennie 2003; Ware, 2008

## Advantages of using AI tools in the PRP

#### Enable Editors to:

- Accelerate manuscript screening
- Check if manuscripts fit with journal scope
- Detect plagiarism
- Check formatting
- Assess compliance with journal policies
- Identify suitable reviewers
- Summarize individual review reports
- Write final decision letters

#### Enable Reviewers to:

- Generate more concise, informative and well-written reviews (particularly for nonnative speakers)
- Save time and effort from correcting grammar and spelling mistakes



## Can Generative AI be an author?

Increasing use of AI-assisted technologies (LLMs) in the manuscript preparation phase (Dergaa et al., 2023; Lee et al., 2023)

- This enhances and speeds up academic writing
- But it also raises ethics issues on (Dergaa et al., 2023):
  - authorship,
  - authenticity,
  - credibility and accountability of academic work,
  - with subsequent legal implications on copyright

# Policies of large publishers\* on authorship \*by journal count Nishikawa-Pacher, 2022

Publisher	Policy on the use of AI-based tools for authors	
Springer	LLMs, such as ChatGPT, do not currently satisfy the authorship criteria	
	Use of an LLM should be properly documented in the manuscript	
Taylor & Francis	Committee On Publication Ethics (COPE) guidelines	
	AI tools, such as ChatGPT or LLMs, cannot be listed as an author of a paper, disclosure	
Flsovior	Authors allowed to use generative AI and AI-assisted technologies in the writing process before submission,	
LISEVIEI	but only to improve the language and readability of their paper and with the appropriate disclosure	
Wiley	COPE guidelines	
	The final decision about whether use of an AI tool is appropriate or permissible lies with the journal's	
	editor or other party responsible for the publication's editorial policy	
SAGE	COPE and World Association of Medical Editors (WAME) guidelines	
	Al bots, such as ChatGPT, should not be listed as an author. Authors who use Al tools must acknowledge this	
	in the manuscript. Editors and reviewers should evaluate the appropriateness of the use of LLMs	
OMICS	None	
DeGruyter	None	
Oxford	Neither symbolic figures nor natural language processing tools driven by AI, such as ChatGPT, qualify as	
<b>University Press</b>	authors. The use of AI tools must be disclosed in the manuscript and the cover letter	
Inder Science	COPE guidelines	
	AI tools (e.g. ChatGPT) cannot be listed as authors. Authors are fully responsible for the content of their	
	article, even those parts produced by any AI tool, and are thus liable for any inaccuracies or breach of	
	publication ethics. The use of AI tools must be acknowledged in the manuscript	
Brill	COPE guidelines	
	Authors may use AI and LLMs in the writing and preparation of their manuscripts when doing so with	
	transparency, maintaining full responsibility and accountability for their research	



## Can AI be a reviewer?

What if peer reviewers use AI tools for their peer review reports?

- What would be an appropriate policy for editors to follow in the case that authors expressed their concerns, or editors themselves have suspicions that the peer review report is a result of, or it includes parts produced by, generative AI tools?
- Are these sufficiently described in journal publishing policies?

## Policies of large publishers\* on peer reviewing \*by journal count Nishikawa-Pacher, 2022

Publisher	Policy on the use of Al-based tools		
	for editors	for reviewers	
Springer	None	None	
Taylor & Francis	Files, images or information from unpublished manuscripts must not be uploaded into databases or tools that <b>do not guarantee confidentiality</b> , are accessible by the public and/or may store or use this information for their own purposes (for example, generative AI tools like ChatGPT)	<b>Reviewers must not use AI tools</b> to generate manuscript review reports, including LLM-based tools like ChatGPT	
Elsevier	None	Reviewers should not upload a submitted manuscript or any part of it into a generative AI tool as this may violate the authors' <b>confidentiality and proprietary rights</b> and, where the paper contains personally identifiable information, may breach data privacy rights	
Wiley	None	None	
SAGE	None	None	
OMICS	None	None	
DeGruyter	Refer to journals' policy	None	
Oxford University Press	None	None	
Inder Science	None	None	
Brill	Editors same as authors	None	



## Risks of using AI tools for manuscript reviewing

#### Donker 2023 on ChatGPT

- Good summaries
- No specific improvements on the study
- No critical content on the study
- Specific-looking general comments with no bearing on the text
- Specific but unrelated comments to the study content (reasons for rejection)
- Fabricated references (non-existent but authored by real persons working on similar topics)
- Could be mistaken for an actual review report by persons that have not fully read the manuscript
- Authors should be prepared to challenge reviewer comments that seem unrelated and non-specific

#### Checco et al. 2021 on an Al tool

- Al tool developed to assess if Al can approximate human decisions in PRP
- It was often able to successfully predict the peer review outcome reached as a result of human reviewers' recommendations
- The "first-impression bias" found in reviewers can be present in a training dataset and thus, the trained AI model can propagate such biases

#### Hosseini & Horbach 2023

Al tools could allow fake peer reviewers to create more unique and well-written reviews

## Risks of using AI tools for manuscript reviewing

Need a consistent, end-to-end policy on the ethics and integrity of AI tools in publishing, to avoid the risk of compromising the integrity of the PRP and of undermining the credibility of academic publication

Garcia, 2023; Ling and Yan, 2023

- Risk of editors and reviewers over-relying on AI tools to assess the originality, quality and impact of a manuscript, overlooking their own experience and expert judgment
- Dependency on AI tools -even to a certain extent- to manage the PRP can jeopardize the way editors and reviewers exercise their autonomy
- Consequently, authors may distrust the PRP due to a lack of transparency on the rationale of decision-making
- Al tools used in the PRP may undermine the integrity and the purpose of the process, challenge academic communication and even trust in science at large

Mollaki 2024

## Are policies enough?

Policies for use of AI tools by authors are restrictive

Policies for use of AI tools by peer reviewers are becoming (or will become) restrictive too

But...are policies enough? What steps need to be taken to ensure that policies are followed?

## Beyond policies and call for action

Authors suspect that a reviewer has used AI tools to produce a review report, what should they do?

Alert the editors, who will raise such matters to the journal's Ethics Committee (assuming one exists)

How can we prove whether allegations are true? How can we fill in the gap of **evidence**?

In the absence of evidence, how could such allegations be investigated by Ethics Committees?

Policies & procedures must be **transparent**, detailed and **solid**, facilitating decision-making when a reviewer is found to have used AI-tools

## Beyond policies and call for action

WAME guidelines for the use of AI tools by authors recommend that:

"[. . .] editors need appropriate tools to help them detect content generated or altered by AI for the good of science and the public, and to help ensure the integrity of healthcare information and reducing the risk of adverse health outcomes" (Zielinski *et al.*, 2023)

- Should we use similar tools to detect Al-generated/altered peer review reports?
- Will this undermine the altruism on which peer review is based on? (reviewers voluntarily spend time and effort to help authors improve their papers, Mulligan & Raphael, 2010)
- Checking for AI content in review reports challenges the trust between reviewers and editors, and the reviewers' willingness to continue offering expertise in the PRP

## Beyond policies and call for action

- If the use of AI tools in review reports cannot be proven, e.g. through tools that detect content generated/altered by AI, it will be detrimental for ethics in publishing
- Adding on existing criticism on the PRP: long delays, efficacy of the process, susceptibility to bias, inability to detect fraudulent research/ misconduct (Castelo-Branco, 2023; Manchikanti *et al.*, 2015; Tennant *et al.*, 2017)
- Reviewers found not to comply with publishing policies must be flagged and excluded from the PRP, for all journals under the same publisher
- Publishing policies are essential, but they need to enable certain solid steps towards protecting peer review ethics. Reluctance to do so can:
  - put the journal's and/or the publisher's reputation at risk
  - compromise the integrity and ethics of the peer review system

# Why is it important to have policies & procedures that are transparent and solid?

Everybody wants to publish, nobody has time to review !

- Al tools are regularly optimized, thus, they become better
- Consequently, papers written by AI tools become better (e.g. ChatGPT deep research)

**OpenAl** 

#### February 2, 2025 Release

# Introducing deep research

An agent that uses reasoning to synthesize large amounts of online information and complete multi-step research tasks for you. Available to Pro users today, Plus and Team next. Papers written by AI tools will be reviewed by ... AI tools !

Loss of PRP's scope "to critically assess the novelty, quality, and impact of research by peer experts"

# Thank you

Special collection: Research Integrity and Research Misconduct

Death of a reviewer or death of peer review integrity? the challenges of using AI tools in peer reviewing and the need to go beyond publishing policies

Research Ethics 2024, Vol. 20(2) 239-250 © The Author(s) 2024 Article reuse guidelines: sagepub.com/journals-permissions DOI: 10.1177/17470161231224552 journals.sagepub.com/home/rea

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Lo Vecchio Research Integrity and Peer Review (2025) 10:4 https://doi.org/10.1186/s41073-025-00161-3

Research Integrity and Peer Review

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#### COMMENT

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Personal experience with AI-generated peer reviews: a case study



Artificial intelligence software is increasingly involved in reviewing papers - provoking interest and unease. By Miryam Naddaf

852 | Nature | Vol 639 | 27 March 2025

AI & SOCIETY https://doi.org/10.1007/s00146-025-02299-6

REVIEW

#### The assisted Technology dilemma: a reflection on AI chatbots use and risks while reshaping the peer review process in scientific research

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Received: 17 December 2024 / Accepted: 3 March 2025 © The Author(s), under exclusive licence to Springer-Verlag London Ltd., part of Springer Nature 2025

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